
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): March 12, 2021 (March 9, 2021)

Tradeweb Markets Inc.

(Exact name of registrant as specified in charter)

Delaware
(State or Other Jurisdiction
of Incorporation)

001-38860
(Commission
File Number)

83-2456358
(I.R.S. Employer
Identification No.)

1177 Avenue of the Americas
New York, New York
(Address of Principal Executive Offices)

10036
(Zip Code)

Registrant's telephone number, including area code: (646) 430-6000

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Class A common stock, par value \$0.00001	TW	Nasdaq Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On March 9, 2021, Debra Walton resigned from the Board of Directors (the “Board”) of Tradeweb Markets Inc. (the “Company”), effective March 18, 2021. Ms. Walton’s resignation was not the result of any disagreement with the Company.

On March 9, 2021, the Board appointed Murray Roos, age 45, as a Class I director, effective March 19, 2021. Mr. Roos will hold office until the annual meeting of stockholders to be held in 2023 and until his successor shall be elected and qualified or until his earlier death, resignation, retirement, disqualification or removal.

Mr. Roos was designated to serve on the Board by Refinitiv Parent Limited (“Refinitiv”), our controlling stockholder, pursuant to Refinitiv’s director designation right as set forth in Section 2.1 of that certain Stockholders Agreement, dated as of April 8, 2019, by and among the Company and the stockholders named therein. Mr. Roos does not have any family relationships with any director or executive officer of the Company and there are no transactions in which Mr. Roos has an interest requiring disclosure under Item 404(a) of Regulation S-K.

Mr. Roos will not receive any compensation for his service as a director on our Board.

Mr. Roos has served as the Group Director, Capital Markets, and as a member of the Executive Committee, of the London Stock Exchange Group plc (“LSEG”) since April 2020. Prior to joining LSEG, Mr. Roos held several senior positions at Citigroup from April 2015 to March 2020, including Global Co-Head of Equities, and previously led Citigroup’s Multi-Asset Structuring Group. Prior to that, Mr. Roos held various management and trading positions at Deutsche Bank, and was previously a trader at UBS. Mr. Roos received a BSc from the University of Cape Town. Mr. Roos was selected to serve on our Board because of his global leadership experience across sales, trading and origination.

The Company will enter into its standard form of indemnification agreement for directors and officers, a copy of which was previously filed as Exhibit 10.16 to the Company’s Registration Statement on Form S-1 (File No. 333-230115) and is incorporated herein by reference, with Mr. Roos.

Item 7.01. Regulation FD Disclosure.

On March 12, 2021, the Company issued a press release announcing the resignation of Ms. Walton and the appointment of Mr. Roos. A copy of the press release is attached as Exhibit 99.1 hereto and is incorporated herein by reference.

In accordance with General Instruction B.2 of Form 8-K, the information in this Item 7.01 of this Current Report on Form 8-K, including Exhibit 99.1 attached hereto, shall not be deemed “filed” for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Securities Exchange Act of 1934, as amended, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits:

<u>Exhibit Number</u>	<u>Exhibit Description</u>
10.1	Form of Indemnification Agreement (incorporated by reference to Exhibit 10.16 to the Company’s Registration Statement on Form S-1 (File No. 333-230115)).
99.1	Press Release of Tradeweb Markets Inc., dated March 12, 2021.
104	Cover Page Interactive Data File (embedded within the Inline XBRL document).

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TRADEWEB MARKETS INC.

Date: March 12, 2021

By: /s/ Douglas Friedman
Name: Douglas Friedman
Title: General Counsel

**NEWS RELEASE****Murray Roos Appointed to Tradeweb Markets Board of Directors**

NEW YORK – March 12, 2021 – Tradeweb Markets Inc. (Nasdaq: TW), a leading, global operator of electronic marketplaces for rates, credit, equities and money markets, today announced the appointment of Murray Roos to the company’s Board of Directors effective March 19, 2021. He succeeds Debra Walton, who will be stepping down from the Tradeweb board.

Mr. Roos is Group Head of Capital Markets, London Stock Exchange Group plc (LSEG) and a member of the group’s executive committee. Prior to joining LSEG in 2020, Mr. Roos was Global Co-Head of Equities at Citigroup and previously led the bank’s Multi-Asset Structuring Group. He joined Citi in 2015 from Deutsche Bank, where he held a number of management and trading roles, and prior to that he was a trader at UBS. Mr. Roos earned a BSc in Engineering (Chemical) from University of Cape Town.

Martin Brand, Chairman of the Board, Tradeweb Markets, said: “Murray Roos has a deep and varied background in institutional markets, with global leadership experience across sales, trading and origination. His strategic and operational perspectives will be a great asset to Tradeweb and we welcome him to the board.”

Lee Olesky, CEO, Tradeweb Markets: “The two years since our IPO has been an exciting period for Tradeweb, and we are delighted to welcome Murray to our board as that momentum continues. He is an experienced leader and trader across cash and derivatives markets, and we look forward to collaborating with him on a range of issues. We would also like to thank Deb Walton for her invaluable insight and support throughout this incredible period of growth and change for Tradeweb.”

About Tradeweb Markets

Tradeweb Markets Inc. (Nasdaq: TW) is a leading, global operator of electronic marketplaces for rates, credit, equities and money markets. Founded in 1996, Tradeweb provides access to markets, data and analytics, electronic trading, straight-through-processing and reporting for more than 40 products to clients in the institutional, wholesale and retail markets. Advanced technologies developed by Tradeweb enhance price discovery, order execution and trade workflows while allowing for greater scale and helping to reduce risks in client trading operations. Tradeweb serves approximately 2,500 clients in more than 65 countries. On average, Tradeweb facilitated more than \$830 billion in notional value traded per day over the past four fiscal quarters. For more information, please go to www.tradeweb.com.

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