SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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obligations may continue. See Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940
1. Name and Address of Reporting Person* Olesky Lee	2. Issuer Name and Ticker or Trading Symbol <u>Tradeweb Markets Inc.</u> [TW]

I. Marie and Address of Reporting Feison		n*	2. Issuer Name and Ticker or Trading Symbol Tradeweb Markets Inc. [TW]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Olesky Lee</u>				Х	Director	10% Owner			
(Last) (Fir TRADEWEB MAR 1177 AVENUE OF	KETS INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/16/2022	Х	Officer (give title below) Chief Executive (Other (specify below) Officer			
(Street) NEW YORK NY (City) (St	Y ate)	10036 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A common stock	03/16/2022		F		5,469 ⁽¹⁾	D	\$87.43	259,792 ⁽²⁾	D	
Class A common stock	03/16/2022		A		16 ⁽³⁾	A	\$0	259,808 ⁽²⁾	D	
Class A common stock								20,000	I	See footnote ⁽⁴⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		ı of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares withheld by the issuer to satisfy the tax withholding obligation associated with the settlement of restricted stock units ("RSUs") in respect of the issuer's Class A common stock ("Class A Common Stock") held by the reporting person.

2. This amount includes (i) 17,151 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on March 17, 2023, (ii) 102,906 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2023, (iii) 19,777 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on each of March 15, 2023 and March 15, 2024, (iv) 59,330 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2023, (iii) negative for Class A Common Stock which are scheduled to vest on January 1, 2024, (iv) 59,330 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, and (v) 31,000 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 202 scheduled to vest in equal installments on the first, second and third anniversaries of March 15, 2022, in each case subject to the reporting person's continued employment through the applicable vesting date.

3. Represents shares of Class A Common Stock acquired in connection with the settlement of certain dividend equivalent rights (the "DERs"). The DERs were granted in connection with previously awarded RSUs, and settled in shares of Class A Common Stock in connection with the settlement of the RSUs to which they relate.

4. The reporting person owns these securities through The Lee Olesky 2019 Family Trust U/A/D March 21, 2019 ("The Family Trust"). The reporting person and his wife, as the co-trustees of The Family Trust, share the power to vote and invest the securities, but each disclaims beneficial ownership of such securities except to the extent of his or her pecuniary interest therein.

> /s/ Scott Zucker, Attorney-in-03/18/2022 Fact for Lee Olesky

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.