SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of	hours per	hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addre	1 0	erson*	2. Issuer Name <b>and</b> Ticker or Trading Symbol Tradeweb Markets Inc. [TW]	5. Relationship (Check all appl		f Reporting Person(s) to Issuer able)		
Hult William	<u>1</u>			X Direct	or	10% Owner		
					r (give title	Other (specify		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	woied	,	below)		
TRADEWEB N	ARKETS INC	2.	02/24/2023	C	Chief Executive Officer			
1177 AVENUE	OF THE AME	RICAS						
(Otra at)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Line)	Joint/Group Fil	ling (Check Applicable		
(Street)	NY	10036		í í	filed by One Re	ed by One Reporting Person		
NEW YORK	IN Y	10030		Form Perso		nan One Reporting		
(City)	(State)	(Zip)						

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities A Disposed Of ( 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price			(1130. 4)
Class A common stock	02/24/2023		Α		31,268 <sup>(1)</sup>	Α	\$ <mark>0</mark>	156,940 <sup>(2)</sup>	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. The reported transaction reflects the acquisition of performance-based restricted stock units ("RSUs") in respect of the issuer's Class A common stock ("Class A Common Stock") that were granted to the reporting person on March 15, 2022, subject to the achievement of certain performance goals in respect of calendar year 2022. The level of achievement of those performance goals was determined on February 24, 2023. These RSUs remain unvested and are scheduled to vest on January 1, 2025, subject to the reporting person's continued employment through such date.

2. This amount includes (i) 14,364 unvested RSUs in respect of the Class A Common Stock that are scheduled to vest on March 17, 2023, (ii) 16,563 unvested RSUs in respect of Class A Common Stock that are scheduled to vest in equal installments on each of March 15, 2023 and March 15, 2024, (iii) 49,688 unvested RSUs in respect of Class A Common Stock that are scheduled to vest in equal installments on each of March 15, 2023 and March 15, 2024, (iii) 49,688 unvested RSUs in respect of Class A Common Stock that are scheduled to vest in equal installments on each of March 15, 2024, and March 15, 2025, and (v) 31,268 unvested RSUs in respect of Class A Common Stock that are rescheduled to vest on January 1, 2025, in each case, subject to the reporting person's continued employment through the applicable vesting date.

## **Remarks:**

/s/ Scott Zucker, Attorney-in-02/28/2023

Fact for William Hult

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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