SEC Form 4

NEW YORK

(City)

NY

(State)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

		Washington, D.C. 20349	OMB APF	OMB APPROVAL		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMEN Filed	OMB Number: Estimated average hours per response				
1. Name and Address of Reporting Person* <u>Peterson Justin</u>		2. Issuer Name and Ticker or Trading Symbol <u>Tradeweb Markets Inc.</u> [TW]	(Check all applica Director	10) 0% Owner	
(Last) (First) (M TRADEWEB MARKETS INC.	iddle)	3. Date of Earliest Transaction (Month/Day/Year) 11/25/2020	below)		ther (specify elow) ² icer	
1177 AVENUE OF THE AMERICAS	5	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Jo Line)	int/Group Filing (Ch	eck Applicable	
(Street)			X Form file	Person		

Form filed by More than One Reporting Person

Ru	Ile 10b5-1(c) Transaction Indication
	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)		
Class A common stock	11/25/2020		G		2,000	D	\$ <mark>0</mark>	50,760 ⁽¹⁾	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This amount includes (i) 3,371 restricted stock units ("RSUs") in respect of the issuer's Class A common stock (the "Class A Common Stock") which are scheduled to vest on March 15, 2024, (ii) 14,832 RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, (iii) 6,409 RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2024 and March 15, 2025, (iv) 12,419 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in respect of Class A Common Stock which are scheduled to vest in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2024, March 15, 2025, and March 15, 2025, and (v) 13,729 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2024, March 15, 2025 and March 15, 2026, in each case subject to the reporting person's continued employment through the applicable vesting date.

Remarks:

/s/ Douglas Friedman, Attorney-in-Fact for Justin

11/13/2023

Peterson

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

10036

(Zip)

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.