# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 19, 2020

## Tradeweb Markets Inc.

(Exact name of registrant as specified in charter)

**Delaware** (State or Other Jurisdiction of Incorporation)

**001-38860** (Commission File Number)

**83-2456358** (I.R.S. Employer Identification No.)

1177 Avenue of the Americas New York, New York (Address of Principal Executive Offices)

**10036** (Zip Code)

Registrant's telephone number, including area code: (646) 430-6000

| Check the appropriate bo | x below if the Form 8 | 3-K filing is intended | to simultaneousl | ly satisfy the fili | ng obligation of t | the registrant und | er any of the |
|--------------------------|-----------------------|------------------------|------------------|---------------------|--------------------|--------------------|---------------|
| following provisions:    |                       |                        |                  |                     |                    |                    |               |

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- □ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

|   |                   | Name of each exchange on which |
|---|-------------------|--------------------------------|
| Title of each class                       | Trading Symbol(s) | registered                     |
| Class A common stock, par value \$0.00001 | TW                | Nasdaq Global Select Market    |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ⊠

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

#### Item 5.07. Submission of Matters to a Vote of Security Holders.

On May 19, 2020, Tradeweb Markets Inc. (the "Company") held its 2020 Annual Meeting of Stockholders. At the 2020 Annual Meeting of Stockholders, stockholders voted on the matters disclosed in the Company's definitive Proxy Statement on Schedule 14A filed with the Securities and Exchange Commission on April 9, 2020. The final voting results for the matters submitted to a vote of the stockholders were as follows:

#### Proposal 1: **Election of Directors.**

The Company's stockholders elected the persons listed below as Class I directors for a three-year term expiring at the Company's 2023 Annual Meeting of Stockholders or until their respective successors are duly elected and qualified:

| Nominee       | Votes For     | <b>Votes Withheld</b> | <b>Broker Non-Votes</b> |
|---------------|---------------|-----------------------|-------------------------|
| John Finley   | 1,224,916,378 | 38,830,418            | 2,805,214               |
| Scott Ganeles | 1,229,006,974 | 34,739,822            | 2,805,214               |
| Debra Walton  | 1,227,734,185 | 36,012,611            | 2,805,214               |

#### Proposal 2: Ratification of Independent Registered Public Accounting Firm.

The Company's stockholders ratified the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2020.

| Votes For     | Votes Against | Abstentions |
|---------------|---------------|-------------|
| 1,266,484,396 | 27,898        | 39,716      |
|               |               |             |
|               | 2             |             |

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 26, 2020

TRADEWEB MARKETS INC.

By: /s/ Douglas Friedman

Name: Douglas Friedman Title: General Counsel