SEC Form 4	
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	4
or Section 30(h) of the Investment Company Act of 1940	

1. Name and Address of Reporting Person <sup>*</sup> Peterson Justin			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Tradeweb Markets Inc.</u> [ TW ]		tionship of Reporting Pers all applicable) Director	10% Owner				
(Last) TRADEWEB M	(First) IARKETS INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/16/2023	X	Officer (give title below) Chief Technology	Other (specify below) 7 Officer				
1177 AVENUE OF THE AMERICAS		CAS	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person					
(Street) NEW YORK	NY	10036			Form filed by More than Person	One Reporting				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication         X         Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 2. Transaction 2A. Deemed 5. Amount of 7. Nature 1. Title of Security (Instr. 3) 6. Ownership Transaction Form: Direct (D) or Indirect Securities Beneficially of Indirect Execution Date (Month/Day/Year) Beneficial if any (Month/Day/Year) Code (Instr. 8) Owned Following (I) (Instr. 4) Ownership (Instr A)

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)
Class A common stock	10/16/2023	<b>M</b> <sup>(1)</sup>		13,550	A	\$20.59	66,310 <sup>(2)</sup>	D	
Class A common stock	10/16/2023	<b>S</b> <sup>(1)</sup>		13,550	D	\$83.8779 <sup>(3)</sup>	52,760 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$20.59	10/16/2023		M <sup>(1)</sup>			13,550	(4)	10/26/2028	Class A common stock	13,550	\$0	10,000	D	

Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 15, 2023.

2. This amount includes (i) 3,371 restricted stock units ("RSUs") in respect of the issuer's Class A common stock (the "Class A Common Stock") which are scheduled to vest on March 15, 2024, (ii) 14,832 RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, (iii) 6,409 RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2024 and March 15, 2025, (iv) 12,419 unvested RSUs in respect of Class A Common Stock that are scheduled to vest on January 1, 2025, and (v) 13,729 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2024, March 15, 2025 and March 15, 2026, in each case subject to the reporting person's continued employment through the applicable vesting date.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$83.38 to \$84.63, inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

4. The option is fully vested and exercisable as of the date hereof.

**Remarks:** 

<u>/s/ Scott Zucker, Attorney-in-</u> Fact for Justin Peterson

10/18/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).