# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 10, 2022

# **Tradeweb Markets Inc.**

(Exact name of registrant as specified in charter)

Delaware (State or Other Jurisdiction of Incorporation) 001-38860 (Commission File Number) 83-2456358 (I.R.S. Employer Identification No.)

1177 Avenue of the Americas New York, New York (Address of Principal Executive Offices)

10036 (Zip Code)

Registrant's telephone number, including area code: (646) 430-6000

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Dere-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

	Trading	Name of each exchange
Title of each class	Symbol(s)	on which registered
Class A common stock, par value \$0.00001	TW	Nasdaq Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

#### Item 5.07. Submission of Matters to a Vote of Security Holders.

On May 10, 2022, Tradeweb Markets Inc. (the "Company") held its 2022 Annual Meeting of Stockholders. At the 2022 Annual Meeting of Stockholders, stockholders voted on the matters disclosed in the Company's definitive Proxy Statement on Schedule 14A filed with the Securities and Exchange Commission on March 29, 2022 (the "Proxy Statement"). The final voting results for the matters submitted to a vote of the stockholders were as follows:

### Proposal 1: Election of Directors.

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The Company's stockholders elected the persons listed below as Class III directors for a three-year term expiring at the Company's 2025 Annual Meeting of Stockholders or until their respective successors are duly elected and qualified:

Nominee	Votes For	Votes Withheld	Broker Non-Votes
Steven Berns	1,278,839,294	19,735,972	1,592,072
William Hult	1,273,577,153	24,998,113	1,592,072
Lee Olesky	1,275,977,927	22,597,339	1,592,072

## Proposal 2: Ratification of Appointment of Deloitte & Touche LLP Independent Registered Public Accounting Firm.

The Company's stockholders ratified the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2022.

Votes For	Votes Against	Abstentions
1,300,147,093	17,115	3,130

## Proposal 3: Stockholder Proposal Relating to the Adoption of a Policy on Board Diversity

The Company's stockholders did not approve the stockholder proposal relating to the adoption of a policy on board diversity.

Votes For	Votes Against	Abstentions	Broker Non-Votes
90,463,221	1,208,034,285	77,760	1,592,072

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TRADEWEB MARKETS INC.

By: /s/ Douglas Friedman

Name: Douglas Friedman

Title: General Counsel

Date: May 16, 2022